



12-14-00

Section 13

Express Mail No.: EL 451 595 667 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: *Hu et al.*

Serial No.: 09/643,128

Group Art Unit: not yet assigned

Filed: August 21, 2000

Examiner: not yet assigned

For: GENE INACTIVATION BY TARGETED DNA METHYLATION Attorney Docket No.: 10583-005-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED**

BOX: Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts Of Non-Provisional Application - Filing Date Granted" mailed by the U.S. Patent and Trademark Office on October 13, 2000, Applicants submit the following documents to complete the filing for the above-identified application:

1. A Declaration for Non-Provisional Patent Application signed by the inventors in compliance with 37 CFR 1.63;
2. An executed Verified Statement (Declaration) Claiming Small Entity Status in compliance with 37 C.F.R. 1.9(f) and 1.27(c); and
3. A return copy of Notice to File Missing Parts of Application - Filing Date Granted;

The U.S. Patent and Trademark Office is hereby authorized to charge the following fees to Pennie & Edmonds LLP Deposit Account No. 16-1150:

- | | |
|--|------------------|
| 1. The statutory basic filing fee
(small entity - Verified Statement enclosed) | \$ 355.00 |
| 2. Extra fee for 14 dependent claims
(small entity - Verified Statement enclosed) | \$ 126.00 |
| 3. Surcharge Fee for filing declaration
on a date later than the filing date
of the application per 37 CFR 1.16(e)
(small entity) | <u>\$ 65.00</u> |
| TOTAL | \$ 546.00 |

The Commissioner is hereby authorized to charge any additional fees associated with this paper communication or credit any overpayment to Deposit Account No. 16-1150.

The documents submitted complete the filing of the above-identified patent application and justify the filing date of August 21, 2000. This form is being submitted in duplicate.

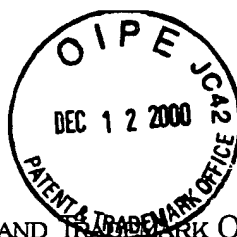
Respectfully submitted,

Date: December 12, 2000

	42,983
Rahul Pathak	(Reg. No.)

For: Thomas E. Friebe (Reg. No. 29,258)
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/643,128	08/21/2000	Jifan Hu	10583-005-999

24341
Pennie & Edmonds, LLP
3300 Hillview Avenue
Palo Alto, CA 94304

FORMALITIES LETTER



OC000000005473408

Date Mailed: 10/13/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$252.
 - \$252 for 14 total claims over 20.
 - The oath or declaration is unsigned.
 - To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
-
- The balance due by applicant is \$ 1072.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/19/2000 YPOLITE1 00000024 161150 09643128

01 FC:201 355.00 CH
02 FC:203 126.00 CH
03 FC:205 65.00 CH

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